



Volunteer Application Package

W: www.121fcu.org

P: (904) 723-6300

F: (904) 722-6660

Nominating Committee Checklist

E: NominatingCommittee@121fcu.org

CHAIRPERSON: Please complete the following and send to the Executive Assistants for recordkeeping.

121 Financial Credit Union, Nominating Committee, P. O. Box 40769, Jacksonville, FL 32203

General Information:

Name	Address
Cell/Primary Phone	City, State & Zip Code
Email Address	Applying for: <input type="checkbox"/> Board of Directors <input type="checkbox"/> Supervisory Committee

Eligibility Checklist

- YES NO Is at least 18 years of age and has not been convicted of any felony
- YES NO Has an established account
- YES NO Is not a current employee of the Credit Union
- YES NO Is not in the immediate family of any employee of the Credit Union
- YES NO Does not hold an executive or any position with another financial institution

Credit Union Checklist

- YES NO Appears able to read and understand the 121 Financial Bylaws and Board Governance Process
- YES NO Possesses areas of financial knowledge, experience, educational background, and interest pertinent to 121 Financials' future success
- YES NO Ability to positively represent and display the credit union's core values
- YES NO Has expressed a willingness to accept the responsibilities and duties of the position
- YES NO Able to act independently and objectively
- YES NO Is a member in good standing with the Credit Union
- YES NO Is current on all obligations to the Credit Union
- YES NO Has an active share account(s)
- YES NO Has not caused this, or any other Credit Union or financial institution a loss (bankruptcy, foreclosure, charge off, etc.)
- YES NO Displays no obvious or apparent conflicts of interest regarding relationships with current Board Members (e.g. relative/immediate family, employer, business partner, etc.)
- YES NO Has never been convicted of a felony or misdemeanor that contradicts the values of 121 Financial
- YES NO Has completed or is willing to complete the necessary educational and training requirements as defined in Section 1. J. of the Governance manual
- YES NO Is able to take continuing education courses, along with occasional travel (no more than 1-2 trips per year)

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NCUA/OFR Checklist

- YES NO Is a US Citizen
- YES NO Can provide a list of previous addresses and 5 years of employment records (if required)
- YES NO Has ever been dismissed or asked to resign from any past employment, including a less than honorable discharge from military service?

If yes, what?

- YES NO Can provide a list of diploma/degrees from high schools, colleges, universities, or other schools, and professional license/certificates (ex. Attorney, Physician, CPA, or NASD, etc.) (if required)
- YES NO Can provide a list of business **and** financial affiliations that you are associated with. (Check for conflict of interests.)
- YES NO Are you now or are you proposed to be a “management official” of another insured depository institution or depository institution holding company?

If yes, where?

- YES NO Are you in the process of being considered for a senior executive officer or director position at another depository institution or depository institution holding company?

If yes, where?

- YES NO Have you been involved in any of the following filings where the filing was denied, disapproved, withdrawn, or otherwise returned without favorable action by a federal or state regulatory authority or a self-regulatory organization:

A charter or license application, a depository institution holding company application, or a federal deposit insurance application, in which you were listed as an organizer, director, senior executive officer, or a person that would own or control (either individually or as a member of a group) 10 percent or more of any class of voting securities or other voting equity interest of the institution, or similar position?

A notice of change in director or senior executive officer, or similar form, in which you were listed as a director, senior executive officer, or similar position at a depository institution?

A notice of change in control for a depository institution or other company, or a similar form, in which you were listed (either individually or as a member of a group) as an acquirer or transferee?

Any other application, notice, or other regulatory or administrative request which was filed with a federal or state regulatory authority or a self-regulatory organization in which you were listed in some capacity?

- YES NO Have you or any company with which you are or were associated:
- Filed a petition under any chapter of the Bankruptcy Code or had an involuntary bankruptcy petition filed against you or the company?
 - Defaulted on a loan or financial obligation of any sort, in any capacity?
 - Forfeited property in full or partial satisfaction of any financial obligation?
 - Had a lien placed against property for failure to pay taxes or other debts?
 - Had wages or income garnished for any reason?
 - Failed or refused to pay any outstanding judgments?



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- YES NO Have you or any company or depository institution with which you are or were associated been involved in any lawsuit, investigation, examination, or administrative proceeding that may result in, or resulted in, any penalty, agreement, undertaking, consent, judgment, or order imposed by or entered into with any of the following entities:
 Any federal or state court?
 Any department, agency, or commission of the United States government?
 Any state, municipal, or foreign governmental entity?
 Any self-regulatory organization (for example, NASD, FASB, state bar)?
- YES NO Have you or any company or depository institution with which you are or were associated been arrested for, charged with, indicted for, or convicted of, or ever pleaded nolo contendere to, any criminal matter (other than minor traffic violations)?

Printed Name:

Signature:

Date:

For Administration Use Only:

YES NO Verified candidate is member in good standing → DATE: / / → By: